

Investigate this new guide from the publisher of WorldECR

WorldECR is pleased to announce the publication of a new guide, **MANAGING INVESTIGATIONS: Best practice in Government and Internal Investigations**

Over 280 pages, written by some of the world's leading Investigations Practitioners, **MANAGING INVESTIGATIONS** provides readers with a valuable helpmate at what can be an especially troubling time. Unlike other guides, it includes information on investigations into/arising from Sanctions and Export Control violations as well as other, related crimes and misdemeanours, including ABAC and AML.

Investigations insight

Part 1 of the guide is an introduction to current good practice in investigations, focusing on key and universal considerations such as the roles and responsibilities of the various parties, privilege, preservation of evidence, interviews, and data protection issues. Part 1 also includes practical guidance on preparing for, and dealing with, dawn raids.

Part 2 of the guide features 21 Country Chapters focused on good practice in investigations in these key economies, and offering information and guidance through a Q&A format, answering questions such as, among others:

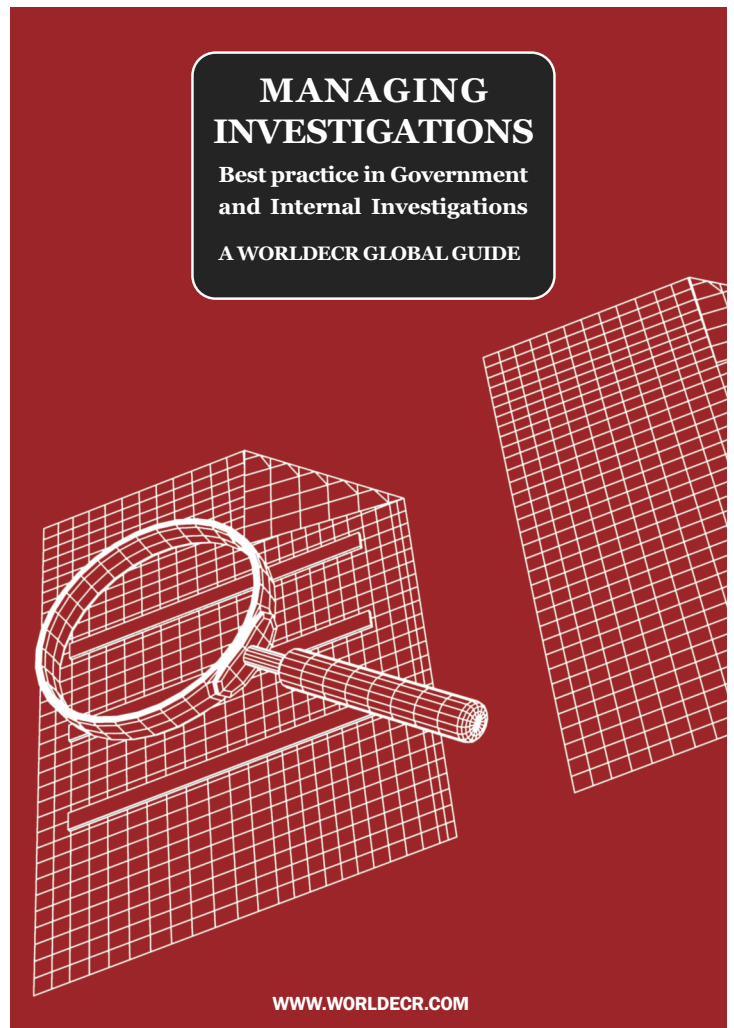
- What agency or agencies may be involved in an investigation for sanctions and export control and other financial crimes?
- What are the investigatory powers afforded to those agencies and under what legislation?
- What are the respective responsibilities of external counsel, consultants and in-house compliance/legal officers?
- At what point is it advisable to appoint outside counsel in the conduct of an investigation?
- What records is it advisable or mandatory to keep that may be useful or essential in the

- event of an investigation?
- Is it mandatory to allocate legal responsibility for the company's actions to a named officer?
- Is the possession of an internal compliance programme regarded as potential mitigation in the event of a finding of violation?
- What is best practice/regulatory obligation regarding voluntary disclosure?
- Are there administrative requirements that are specific to (e.g., controlled or sensitive) industries?
- Enforcement and penalties?
- How should findings of an investigation be presented?
- Possible outcomes of the investigation... and more.

WorldECR editor, Tom Blass, commented: 'What has been fascinating, in putting together **MANAGING INVESTIGATIONS** has been to see the extent to which, while there are clear common denominators between countries, there are also subtle variations. These can make the difference between the way an investigation is handled: issues such as reporting obligations, client confidentiality and availability of legal privilege, the circumstances in which voluntary disclosure is, or isn't, recommended; best practice in record-keeping; and the potential outcomes of an investigation are all subtly, or not so subtly, different, while even the authorities within a single country have their own preferences and guidelines.'

'Given that the trend is toward multi-agency, multi-jurisdictional enforcement, one can see the potential for confusion (and legal expense!). But we hope that this book will prove to be a valuable tool in your navigational equipment, if you find yourself subject to, or have initiated your own, investigation. And if not, we would commend it as an essential element in anticipation of any stormy weather that may come your way.'

For further information, please email info@worlddecr.com



Country chapters

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|-----------|----------------|
| AUSTRALIA | NETHERLANDS |
| BRAZIL | NORWAY |
| CANADA | RUSSIA |
| CHINA | SINGAPORE |
| FRANCE | SPAIN |
| GERMANY | SWEDEN |
| HONG KONG | SWITZERLAND |
| INDIA | UNITED KINGDOM |
| ITALY | USA |
| JAPAN | VIETNAM |
| MEXICO | |

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